IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: **Chen**	§	
	§	
Serial No.: **10/612,456**	§	Group Art Unit: **2174**
	§	
Filed: **July 02, 2003**	§	Examiner: **Ke, Peng**
	§	
For: **Method and Apparatus for**	§	Attorney Docket No.: **AUS920030421US1**
Displaying and Processing Input Fields	§	
from a Document		

RESPONSE TO NOTICE OF NON-COMPLIANT APPEAL BRIEF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A Notice of Non-Compliant Appeal Brief was received by Applicant stating that "the appeal brief filed on December 13, 2007 is considered non-compliant because "the summary of claimed subject matter does not map the independent claims on appeal to the specification by page and line number and to the drawings, if any (claims 8, 15, and 22)". A copy of the Notice of Non-Compliant Appeal Brief is attached hereto.

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

In response to the Notification of Non-Compliant Appeal Brief dated January 01, 2008, please reconsider the holding of non-compliance as follows:

REMARKS

In the Notification of Non-Compliant Appeal Brief, the Appeal Brief filed on December 13, 2007, was held defective because "the summary of claimed subject matter does not map the independent claims on appeal to the specification by page and line number and to the drawings, if any (claims 8, 15, and 22)."

In order to address the Examiner's concerns, a Supplemental Appeal Brief is submitted herewith. The originally filed Appeal Brief was held to be defective solely due to appellant's failure to provide a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v). As provided in MPEP 1205.03(D) an entire new brief need not, and should not, be filed. The present paper provides only a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v). Appellant respectfully requests that the Supplemental Appeal Brief be entered.

The Examiner is invited to call the undersigned at the below-listed telephone number if in the opinion of the Examiner such a telephone conference would expedite or aid the prosecution and examination of this application.

Date: January 15, 2008

Respectfully submitted,

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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/612,456	07/02/2003	Yen-Fu Chen	AUS920030421US1	5390

35525	7590	01/02/2008

IBM CORP (YA)
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DALLAS, TX 75380

EXAMINER

ART UNIT	PAPER NUMBER

DATE MAILED: 01/02/2008

Please find below and/or attached an Office communication concerning this application or proceeding.

Notification of Non-Compliant Appeal Brief (37 CFR 41.37)	Application No.	Applicant(s)	
	10/612,456	CHEN, YEN-FU	
	Examiner	Art Unit	
	KE	2174	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on *13 December 2007* is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.**

1. ☐ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.

2. ☐ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).

3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).

4. ☒ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).

5. ☐ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi))

6. ☐ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).

7. ☐ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).

8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner **and relied upon by appellant in the appeal**, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).

9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).

10.☐ Other (including any explanation in support of the above items):

(4)The summary of claimed subject matter section does not map the independent claims on appeal, to the specification by page and line number and to the drawings, if any (claims 8, 15 and 22).

REGINALD TYSON
PATENT APPEALS SPECIALIST
571-272-1634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Chen**	§
	§ Group Art Unit: **2174**
Serial No. **10/612,456**	§
	§ Examiner: **Ke, Peng**
Filed: **July 2, 2003**	§
	§
For: **Method and Apparatus for**	§
Displaying and Processing Input Fields	§
from a Document	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

35525
PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

SUPPLEMENTAL APPEAL BRIEF (37 C.F.R. 41.37(d))

This Supplemental Appeal Brief is in furtherance of the Notice of Non-Compliant Appeal Brief, mailed January 2, 2008.

The originally filed Appeal Brief was held to be defective solely due to appellant's failure to provide a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v). As provided in MPEP 1205.03(D) an entire new brief need not, and should not, be filed. The present paper provides only a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v).

SUMMARY OF CLAIMED SUBJECT MATTER

A.   CLAIM 1 - INDEPENDENT

The subject matter of claim 1 is directed to a method in a data processing system for presenting input fields from a document (Specification, p.12, ll. 22-24; p. 13, ll. 14-17; p. 17, ll. 27-28; Figure 4, 408-412; Figure 6, 602). The method includes parsing the document (Specification, p.12, ll. 22-24; p. 13, ll. 14-17; p. 17, ll. 27-28; Figure 4, 408-412; Figure 6, 602) to identify a selected indicator (Specification, p. 13, ll. 17-26) associated with a set of mandatory input fields in the document (Specification, p. 13, ll. 17-26). The method further includes presenting only the set of mandatory input fields (Specification, p. 14, l. 23-p. 15, l. 10).

B.   CLAIM 3 - DEPENDENT

The subject matter of claim 5 is directed to a method in a data processing system for presenting input fields from a document. The method includes each claimed feature of the method recited in claim 1. The method further includes the feature of presenting only the set of mandatory input fields wherein the presenting step comprises reformatting the document to contain only the set of mandatory input fields (Specification, p.17, l. 15-p.18, l. 8; Figure 5, 522; Figure 6).

C.   CLAIM 5 - DEPENDENT

The subject matter of claim 5 is directed to a method in a data processing system for presenting input fields from a document. The method includes each claimed feature of the method recited in claim 1. The method further includes the feature of identifying a selected indicator, wherein the indicator is a hypertext markup language tag (Specification, p. 13, l. 17-p. 14, l. 5).

D.   CLAIM 8 - INDEPENDENT

The subject matter of claim 8 is directed to a data processing system for presenting input fields from a document (Specification, p.12, ll. 22-24; p. 13, ll. 14-17; p. 17, ll. 27-28; Figure 4, 408-412; Figure 6, 602). The method includes identifying means for identifying a selected indicator within a parsed document (Specification, p.12, ll. 22-24; p. 13, ll. 14-17; p. 17, ll. 27-28; Figure 4, 408-412; Figure 6, 602), the indictor being associated with a set of mandatory input fields in the document (Specification, p. 13, ll. 17-26). The method further a presenting means for presenting only the set of mandatory input fields (Specification, p. 14, l. 23-p. 15, l. 10).

E.   CLAIM 15 - INDEPENDENT

The subject matter of claim 15 is directed to a computer program product in a recordable-type medium for presenting input fields from a document (Specification, p.12, ll. 22-24; p. 13, ll. 14-17; p. 17, ll. 27-28; Figure 4, 408-412; Figure 6, 602). The method includes first instructions for parsing the document (Specification, p.12, ll. 22-24; p. 13, ll. 14-17; p. 17, ll. 27-28; Figure 4, 408-412; Figure 6, 602) to identify a selected indicator (Specification, p. 13, ll. 17-26) associated with a set of mandatory input fields in the document (Specification, p. 13, ll. 17-26). The method further includes second instructions for presenting only the set of mandatory input fields (Specification, p. 14, l. 23-p. 15, l. 10).

F.   CLAIM 22 - INDEPENDENT

The subject matter of claim 22 is directed to server data processing for obtaining cultural context information from a client (Specification, p.12, ll. 22-24; p. 13, ll. 14-17; p. 17, ll. 27-28; Figure 4, 408-412; Figure 6, 602). The server data processing system includes a bus system (Specification, p. 9, ll. 14-24; Figure 2, 206), a communications unit connected to the bus system (Specification, p. 9, l. 24-p. 10, l. 2 ; Figure 2, 220), and a memory connected to the bus system Specification, p. 9, ll. 18-20; Figure 2, 209), wherein the memory includes a set of instructions. The server data processing system further includes a processing unit connected to the bus system

(Specification, p. 9, ll. 14-17; Figure 2, 202).   wherein the processing unit identifies a selected indicator (Specification, p. 13, ll. 17-26) associated with a set of mandatory input fields in the document (Specification, p. 13, ll. 17-26) and presents only the set of mandatory input fields (Specification, p. 14, l. 23-p. 15, l. 10), wherein the indicator is a hypertext markup language tag (Specification, p. 13, l. 17-p. 14, l. 5).

CONCLUSION

In light of the amended summary of the claimed subject matter herewith, the Appellants respectfully urge that the present Appeal Brief is now in condition for treatment on its merits.

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